**IDENTIFICATION FORM**

**PARTNERSHIPS & PARTNERS**

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| ***GUIDE TO COMPLETING THIS FORM***   * *This form is for PARTNERSHIPS & PARTNERS.* * *Provide details for the Partnership’s Beneficial Owners and provide separate INDIVIDUAL ID Forms for each of these Beneficial Owners.* * *Provide a separate Customer ID Form for ONE of the Partners, unless an ID Form has been provided for this partner as a Beneficial Owner.* * *Contact Evolution Trustees if you have any queries in relation to the contents of this form.* |

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| **SECTION 1: PARTNERSHIP IDENTIFICATION PROCEDURE** |
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| **1.1 General Information** |

|  |  |
| --- | --- |
| Full name of Partnership |  |
|  |  |
| Registered Business name of Partnership (if any) |  |
|  |  |
| Country where Partnership established (if not Australia) |  |
|  |  |

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| **1.2 Type of Partnership ( whether the Partnership is regulated by a professional association and if so, provide the information requested)** |

Is the Partnership regulated by a professional association (i.e. accounting or a similar association where member information is publicly available)?

|  |  |
| --- | --- |
| Yes (provide details below) No | |
|  |  |
| Provide name of association |  |

|  |  |
| --- | --- |
| Provide membership details |  |

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| **1.3 Beneficiaries Details** |

Are there any individuals who ultimately own 25% or more of the Partnership; or are entitled (either indirectly or directly) to exercise 25% or more of the voting rights of the Partnership, including power of veto?

Yes  (Complete 1.3.1) No  (Complete 1.3.2)

**1.3.1 Beneficial owners**

Provide the names of the individuals who ultimately own 25% or more of the Partnership; or are entitled (either indirectly or directly) to exercise 25% or more of the voting rights, including power of veto.

**Complete a separate individual customer ID form for each of these individuals.**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Full given name(s) | | | |  | | | Surname | | |
| 1 |  | | | |  | | |  | | |
|  |  | | | |  | | |  | | |
| 2 |  | | | |  | | |  | | |
|  |  | | | |  | | |  | | |
| 3 |  | | | |  | | |  | | |
|  |  | | | |  | | |  | | |
| 4 |  | | | |  | | |  | | |
| **1.3.2 Other Beneficial Owners**  If there are no individuals who meet the requirement of 1.3.1, provide the names of the individuals who directly or indirectly control\* the Partnership.  \* includes exercising control through the capacity to determine decisions about financial or operating policies; or by means of trusts, agreements, arrangements, understanding & practices. If no such person can be identified then the most senior managing official/s of the Partnership (such as the Managing Partner or Senior Managing Official).  **Complete a separate individual customer ID form for each of these individuals.** | | | | | | | | | |
|  | Full given name(s) Surname | | |  | | | Role (such as Trustee or Beneficiary, etc. refer \* below) | | |
| 1 |  |  |  | | |  | | |  | |
|  |  |  |  | | |  | | |  | |
| 2 |  |  |  | | |  | | |  | |
|  |  |  |  | | |  | | |  | |

If there are more Beneficial Owners, provide details on a separate sheet and tick this box .

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| **1.4 Partnership Details – ALL Partnerships** |

Provide the name of one of the Partners AND **complete a separate customer ID form for this Partner** (unless this Partner has already provided a customer ID form in section 1.3)**.**

**Partner**

|  |  |  |
| --- | --- | --- |
| Full given name(s)/ Business name |  | Surname |
|  |  |  |

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| **1.5 Partnership Details – ALL Partnerships** |

If the Partnership is not regulated by a professional association, provide the names and addresses of all the other Partners**.**

**Partner 1**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Full given name(s)/ Business name | | | | |  | Surname | |
|  | | | | |  |  | |
| Residential/Business address (PO Box is NOT acceptable) | | | | | | | |
|  | | | | | | | |
| Suburb |  | State |  | Postcode |  | | Country | |
|  |  |  |  |  |  |  | |

**Partner 2**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Full given name(s)/ Business name | | | | |  | Surname | |
|  | | | | |  |  | |
| Residential/Business address (PO Box is NOT acceptable) | | | | | | | |
|  | | | | | | | |
| Suburb |  | State |  | Postcode |  | | Country | |
|  |  |  |  |  |  |  | |

**Partner 3**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Full given name(s)/ Business name | | | | |  | Surname | |
|  | | | | |  |  | |
| Residential/Business address (PO Box is NOT acceptable) | | | | | | | |
|  | | | | | | | |
| Suburb |  | State |  | Postcode |  | | Country | |
|  |  |  |  |  |  |  | |

*If there are more partners, provide details on a separate sheet and tick this box* *.*

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| **SECTION 2: TAX INFORMATION** | | | | | | | | | | | |
| Collection of tax status in accordance with United States AUSTRALIAN Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS). | | | | | | | | | | | |
| **2.1 Tax Status** | | | | | | | | | | | |
| **Tick**  **one of the Tax Status boxes below** (if the Partnership is a Financial Institution, please provide all the requested information below) | | | | | | | | | | | |
|  | | **A Financial Institution** (A custodial or depository institution, an investment entity or a specified insurance Company for FATCA / CRS purposes) | | | | | | | | | |
|  | | Provide the Partnership’s Global Intermediary Identification Number (GIIN), if applicable | | | | | | | |  | |
|  | | If the Partnership is a Financial Institution but does not have a GIIN, provide its FATCA status (select  ONE of the following statuses) | | | | | | | | | |
|  | | Deemed Compliant Financial Institution | | | | | | | | | |
|  | | Excepted Financial Institution | | | | | | | | | |
|  | | Exempt Beneficial Owner | | | | | | | | | |
|  | | Non Reporting IGA Financial Institution | | | | | | | | | |
|  | | Nonparticipating Financial Institution | | | | | | | | | |
|  | | US Financial Institution | | | | | | | | | |
|  | | Other (describe the company’s FATCA status in the box provided) | | | | | | |  | | |
|  | |  | | | | | | | | | |
|  | | **PLEASE ANSWER THE QUESTION BELOW FOR ALL FINANCIAL INSTITUTIONS**  Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction and managed by another Financial Institution?  Yes  No  If Yes, proceed to section 2.2 (Foreign Controlling Persons). If No, Please go to section 3 to complete the form.  *CRS Participating Jurisdictions are on the OECD website at* [*http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction*](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction) | | | | | | | | | |
|  | | **An Active, Non-Financial Entity (NFE)** *(Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org.)* | | | | | | | | | |
|  | | If the Partnership is an Active NFE, please proceed to section 2.3 (Country of tax residency). | | | | | | | | | |
|  | | **Other** (Partnerships that are not previously listed – Passive Non-Financial Entities)  Please proceed to section 2.2 (Foreign Controlling Persons). | | | | | | | | | |
|  | | | | | | | | | | | |
| **2.2 Foreign Controlling Persons** | | | | | | | | | | | |
| Are any of the Partnership’s Controlling Persons\* tax residents of countries other than Australia? Yes  No | | | | | | | | | | | |
| *\* A Controlling Person is any individual who directly or indirectly owns or controls the Partnership and includes all Partners or Senior Managing Officials.*  *Tax Residency rules differ by country. Whether an individual is tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person’s residence or place of work. For the US, tax residency can be as a result of citizenship or residency.*  If Yes, please provide the details of these individuals below and complete a separate Individual Identification Form for each Controlling Person (unless already provided in 1.3 as Beneficial Owner or 1.4 as the identified Partner). | | | | | | | | | | | |
|  | | Full given name(s) Surname | | | |  | | Role (such as Managing Director) | | | |
| 1 | |  | |  |  | |  | |  | | | |
|  | |  | |  |  | |  | |  | | | |
| 2 | |  | |  |  | |  | |  | | | |
|  | |  | |  |  | |  | |  | | | |
| 3 | |  | |  |  | |  | |  | | | |

If there are more Beneficial Owners, provide details on a separate sheet and tick this box .

Please proceed to section 2.3.

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| **2.3 Country of Tax Residency** | | | | | | | |
| Is the Partnership a tax resident of a country other than Australia? Yes  No  (A Partnership created or established under the laws of a country other than Australia) | | | | | | | |
| If the Partnership is a tax resident of a country other than Australia, please provide its tax identification number (TIN) or equivalent below. If it is a tax resident of more than one other country, please list all relevant countries below.  If No, please proceed to section 3 to complete the form.  A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B or C) for not providing a TIN. | | | | | | | |
|  |  | | |  |  | | |
| 1. Country |  | TIN |  | | | If no TIN, list reason A, B or C |  | |
|  |  |  |  | | |  |  | |
| 2. Country |  | TIN |  | | | If no TIN, list reason A, B or C |  | |
|  |  |  |  | | |  |  | |
| 3. Country |  | TIN |  | | | If no TIN, list reason A, B or C |  | |

*If there are more countries, provide details on a separate sheet and tick this box.* *.*

**Reason A** The country of tax residency does not issue TINs to tax residents

**Reason B** The Partnership has not been issued with a TIN

**Reason C** The country of tax residency does not require the TIN.to be disclosed.

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| **SECTION 3: COPIES OF IDENTIFICATION INFORMATION** |
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| * *Complete Part* ***I*** *(for all Partnerships) and* * *Complete Part* ***II*** *(if the Partnership is regulated by a professional association).* |

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| **PART I – ACCEPTABLE ID DOCUMENTS -** *for all Partnerships* | |
| **Tick ** | **Documentation options** (select one of the following options) |
|  | An original, a certified copy or certified extract of the Partnership agreement. \* |
|  | A certified copy or a certified extract of minutes of a Partnership meeting. \* |
|  | An original current membership certificate (or equivalent) of a professional association. \* |
|  | Membership details independently sourced from the relevant professional association. \* |
|  | A search of the relevant ASIC, government or other regulator’s database (such as ABN lookup). |
|  | A notice issued by the Australian Taxation Office within the last 12 months e.g. Notice of Assessment. *Block out the TFN before scanning, copying or storing this document.* |
|  | An original or certified copy of a certificate of registration of business name issued by a government or government agency in Australia. \* |
| **PART II – ACCEPTABLE ID DOCUMENTS –** *for a Partnership regulated by a professional association* | |
| **Tick ** | **Documentation options** (select one of the following options) |
|  | An original current membership certificate (or equivalent). \* |
|  | Membership details independently sourced from the relevant association. \* |

*Documents that are written in a language that is not English, must be accompanied by an English translation prepared by an accredited translator.*

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| ***IMPORTANT NOTE:***  ***Ensure that individual customer ID Forms have been provided for EACH of the Partnership’s Beneficial Owners***  ***Ensure that a customer ID Form has been provided for ONE of the Partners***  ***Attach a legible certified copy of the ID documentation used to verify the Partnership and selected partner (and any required***  ***translation)*** |

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| **SECTION 4: RECORD OF VERIFICATION PROCEDURE** |

By completing and signing this form I declare or acknowledge that:

* All the information contained in this form and information regarding the account(s) may be provided to the Australian Taxation Office and they may exchange this information with the country or countries that the Account Holder or related persons is resident for tax purposes.
* All the statements made on this form are to the best of my knowledge and belief correct and complete.
* I undertake to advise the recipient of this form promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide a suitably updated Identification Form within 30 days of such changes in circumstances.
* I am authorised to sign for the Account Holder in respect to all the account(s) to which this form relates.

|  |  |  |  |
| --- | --- | --- | --- |
| Name |  | Email |  |
|  |  |  |  |
| Position |  | Phone No. |  |
|  |  |  |  |
| Signature |  | Date completed |  |